

**O'BANION & RITCHIEY LLP**  
INTELLECTUAL PROPERTY ATTORNEYS

Wells Fargo Center  
400 Capitol Mall, Suite 1550  
Sacramento, California 95814  
Tel. (916) 498-1010  
Fax (916) 498-1074

November 20, 2001

**TELECOPIER TRANSMITTAL SHEET**

**TELECOPY TO:** Art Group 1756

**FAX NUMBER:** 703-872-9310

**FROM:** John P. O'Banion

**RE:** 09/902,502 (Docket No. LBL-CIB-1572)

**MESSAGE:** These documents were mailed via first class mail on October 19, 2001. We believe this may be involved in the mail problem the USPTO is currently undergoing. The following also includes certificates of mailing.

- 1) Copy of return card;
- 2) Copy of check forwarded in the amount of 1,548.00;
- 3) Submission of missing parts of application (Page 1 thru 3);
- 4) Notice to file missing parts (Page 1) with certificate of mailing;
- 5) Combined declaration and power of attorney (Page 1 thru 5) with certificate of mailing;
- 6) Power of attorney by Assignee (Page 1) with certificate of mailing;
- 7) Assignee Certification (Page 1) with certificate of mailing;
- 8) Assignment Cover Letter and Assignment (Page 1 thru 5) with certificate of mailing and;
- 9) Copy of check forwarded for assignment recordation fee 40.00.

Original will not follow

Original will follow by:

The information contained in this facsimile is highly confidential and may also be subject to attorney-client privilege. The information is intended only for the use of the individual or entity to whom it is addressed. If you are not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any use, distribution or copying of this communication is strictly prohibited. If you have received this in error, please notify us immediately by calling collect, and destroy this copy. Thank you.

**NUMBER OF PAGES SENT (INCLUDING COVER SHEET):** 25

**IF THERE ARE ANY PROBLEMS OR QUESTIONS, PLEASE CONTACT US AT (916) 498-1010. OUR FAX NO. IS (916) 498-1074**

**FAX RECEIVED**  
**NOV 20 2001**  
**GROUP 1700**

PATENT

Docket No.: LBL-CIBA-572

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KENNETH A. GOLDBERG  
Serial No.: 09/902,502  
Filed: JULY 9, 2001  
For: METHOD AND APPARATUS FOR INSPECTING AN EUV MASK BLANK  
Group:  
Examiner:

RECEIVED  
NOV 20 2001  
GROUP 1700

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

10/22/01  
John P. O'Brien  
10/22/01

John P. O'Brien, Reg. No. 33,201

Date of Signature

**BOX MISSING PARTS**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**SUBMISSION OF MISSING PARTS OF APPLICATION  
UNDER 37 C.F.R. § 1.53**

Dear Sir:

In response to the Notice to File Missing Parts of Application (PTO-1533) mailed August 24, 2001, a copy of which is enclosed, please find the following documents which are incorporated by reference herein:

1. Combined Declaration and Power of Attorney;
2. Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 C.F.R. 3.71;
3. Assignee Certification Under 37 C.F.R. 3.73(b);
4. Check in the amount of \$1,548 (\$1,418 filing fees and \$130 surcharge for the fees due as a large entity);
5. Assignment Cover Letter.

6 Assignment;

7 Check in the amount of \$40 (assignment recording fee).

The amount due for the filing fees and surcharge has been computed as follows:

**CLAIMS AS FILED**

	Number filed	Number Extra	Rate	Basic Fee
Total				\$ 740.00
Claims 37 CFR 1.16(c)	39 - 20 = 19	X	\$18.00 =	342.00
Independent				
Claims (37 CFR 1.16(b))	7 - 3 = 4	X	\$84.00 =	336.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))		+ \$270.00 =		

Filing Fee Calculation \$ 1,418.00

Surcharge \$ 130.00

**TOTAL FILING FEE** \$ 1,548.00

**Small Entity Statement(s)**

Applicant qualifies as a small entity under 37 CFR 1.9 and 1.27

**Filing Fee Calculation (50% of above)** \$ 1,548.00

**Amount already paid** LESS (\$ )

**TOTAL FEES DUE** \$ 1,548.00

Assignment recording fee \$ 40.00

Petition fee \$ 0

**TOTAL FEES DUE** \$ 1,588.00

Please charge any additional fees due to deposit account no. 07 1137.

Having now complied with all of the requirements of 37 C.F.R. 1.53, the Applicants respectfully request that this application be placed upon the files for examination.

Sent By: O'BANION & RITCHIEY;

9164981074;

Nov-20-01 5:32PM;

Page 6

Dated:

10/09/01

Respectfully submitted,



John P. O'Banion, Reg. No. 33,201  
O'BANION & RITCHIEY LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814  
(916) 498-1010

b7c

Sent By: O'BANION & RITCHIEY;

9164981074;

Nov-20-01 5:32PM;

Page 7

Page 1 of 1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/902,502	07/09/2001	Kenneth A. Goldberg	LBL-CIB-1572

CONFIRMATION NO. 5914

FORMALITIES LETTER



\*OC00000006469705\*

John P. O'Banion  
O'BANION & RITCHIEY LLP  
Suite 1550  
400 Capitol Mall  
Sacramento, CA 95814

Date Mailed. 08/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$662.
  - \$342 for 19 total claims over 20.
  - \$320 for 4 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1502.**

*A copy of this notice MUST be returned with the reply.*

SEARCHED SERIALIZED INDEXED  
United States Patent and Trademark Office  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**  
Applicant(s): **KENNETH A. GOLDBERG**

Docket No.

**LBL-CIB-1572**Serial No.  
**09/902,502**Filing Date  
**JULY 9, 2001**

Examiner

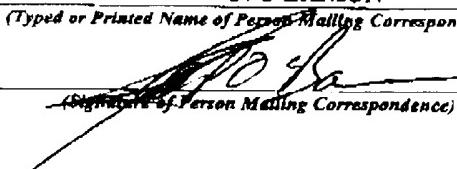
Group Art Unit

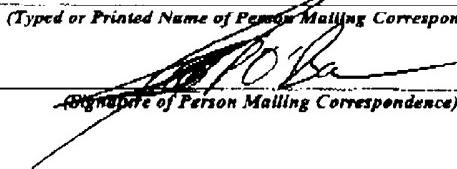
Invention: **METHOD AND APPARATUS FOR INSPECTING AN EUV MASK BLANK**I hereby certify that the Notice to file missing parts of nonprovisional application (Page 1)  
(Identify type of correspondence)is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The  
Assistant Commissioner for Patents, Washington, D.C. 20231 on 10/27/01  
(Date)**JOHN P. O'BANION**

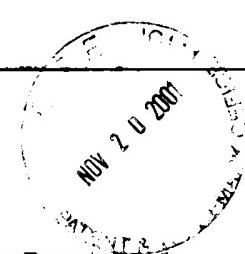
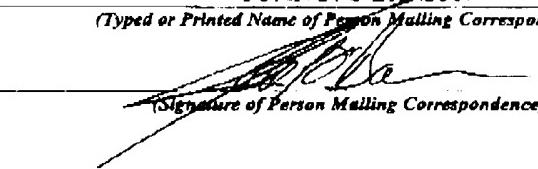
(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

<b>CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)</b>				Docket No. <b>LBL-CIB-1572</b>
Applicant(s): <b>KENNETH A. GOLDBERG</b>				
Serial No. <b>09/902,502</b>	Filing Date <b>JULY 9, 2001</b>	Examiner	Group Art Unit	
Invention: <b>METHOD AND APPARATUS FOR INSPECTING AN EUV MASK BLANK</b>				
				
<p>I hereby certify that this <u>Combined Declaration and Power of Attorney (Page 1 thru 5)</u> <small>(Identify type of correspondence)</small> is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>10/22/01</u> <small>(Date)</small></p>				
<p><b>JOHN P. O'BANION</b> <small>(Typed or Printed Name of Person Mailing Correspondence)</small></p>  <p><small>(Signature of Person Mailing Correspondence)</small></p>				
<p><b>Note: Each paper must have its own certificate of mailing.</b></p>				

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Serial No. <b>09/902,502</b>	Filing Date <b>JULY 9, 2001</b>	Examiner	Group Art Unit
Invention: <b>METHOD AND APPARATUS FOR INSPECTING AN EUV MASK BLANK</b>			
<i>NOV 20 2001</i> <i>PATENT &amp; TRADEMARK OFFICE</i>			
I hereby certify that this <u>Power of Attorney by Assignee (Page 1)</u> (Identify type of correspondence) is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>10/22/01</u> (Date)			
<b>JOHN P. O'BANION</b> (Typed or Printed Name of Person Mailing Correspondence)  (Signature of Person Mailing Correspondence)			
Note: Each paper must have its own certificate of mailing.			

<b>CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)</b> Applicant(s): KENNETH A. GOLDBERG			Docket No. <b>LBL-CIB-1572</b>
Serial No. <b>09/902,502</b>	Filing Date <b>JULY 9, 2001</b>	Examiner	Group Art Unit
Invention. <b>METHOD AND APPARATUS FOR INSPECTING AN EUV MASK BLANK</b>			
			
<p>I hereby certify that this <u>Assignment Cover Letter and Assignment (Page 1 thru 5)</u> <small>(Identify type of correspondence)</small></p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>NOV 20 2001</u> <small>(Date)</small></p>			
<p><b>JOHN P. O'BANION</b> <small>(Typed or Printed Name of Person Mailing Correspondence)</small></p>  <small>(Signature of Person Mailing Correspondence)</small>			
<p>Note: Each paper must have its own certificate of mailing.</p>			